TradeStation®

ACCOUNT APPLICATION PACKAGE

- Individual
- Joint
- IRA

ALL completed and signed pages must be returned.

This application package covers applications for equities (including equity options) and futures (including options on futures) accounts with TradeStation Securities, Inc.

Please use the paper application only if the account is not eligible for online submission.

TradeStation

TradeStation Securities, Inc. Form CRS Relationship Summary

Introduction	TradeStation Securities, Inc. ("we", "our" or "us") is registered with the U.S. Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at https://www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers and investing.
What investment services and advice can you provide me?	We provide brokerage services to retail and institutional traders and investors, including the buying and selling of equities, ETFs, options, mutual funds and fixed income ("Securities"). We don't offer any of our own Securities. We do not offer investment advice. We also offer the *TradeStation* Desktop Platform (the "Platform") through TradeStation Technologies, Inc., our affiliate. The Platform is a proprietary electronic trading platform that allows you to design, test and monitor your own custom trading and investment strategies, and then automate them with electronic order placement. You may also enter and monitor orders and perform some analytics via our mobile and web-based applications. We offer certain free real-time market data packages provided you qualify as a non-professional subscriber. Other market data packages and platform features are made available to non-professional and professional subscribers at extra cost, as described at: https://www.tradestation.com/pricing/market-data-pricing. TradeStation is an online broker for self-directed clients. We do not make recommendations regarding Securities, investment strategies or account types. We do not provide you with investment monitoring services, nor do we accept authorization from you to trade your account. You or an authorized party/delegate will make all decisions regarding the purchase or sale of Securities in your account, therefore it is your responsibility to understand the risks associated with your investment decisions. To learn more about the risks associated with trading, please visit: https://www.tradestation.com/important-information. For additional information about the services we provide, please visit: https://www.tradestation.com. **Conversation starters:** Ask your financial professional… • Given my financial situation, should I choose a brokerage service? Why or why not? • How will you choose investments to recommend to me? • What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?
What fees will I pay?	**Commissions:** Commissions are transaction-based fees charged by brokers. We offer a variety of pricing plans. Depending on the pricing plan chosen, you may be charged more fees when you trade more. Access to, and use of, the Platform features and functions are provided to you at no additional cost on certain pricing plans. Information about all available plans, including other trade and account-related costs, can be viewed at: https://www.tradestation.com/pricing. **Interest:** Margin and day trading allow you to leverage assets to increase your buying power. Margin interest rates vary per the size of your debit balance. These rates may be adjusted at our discretion. For additional information on margin rates, please visit: https://www.tradestation.com/pricing/margin-rates. **Other:** Margin accounts may be subject to Short Debit Fees for the maintenance of short positions in securities. Short Debit Fees may increase or decrease from time to time in light of changing market conditions without notice. You may incur additional fees and costs related to brokerage services and investments including, but not limited to wire fees, account transfer fees, IRA account annual fees, inactivity fees and termination fees. For a full list of additional fees and costs that you may incur, please visit: https://www.tradestation.com/pricing/service-fees. If you custody or plan to transact in mutual funds with us, you should review the mutual fund prospectus for applicable fees, such as management fees and 12b-1 fees. If you utilize an introducing broker-dealer or investment adviser, the fee schedule may differ from the link posted above. Please contact your introducing broker-dealer or investment adviser for a list of fees. You will pay certain fees and costs regardless of whether you make or lose money in your account. Fees and costs will reduce any amount of money you make on your investments over time. We encourage you to learn about all of the fees and costs associated with your account and trading activity.

TradeStation

TradeStation Securities, Inc. Form CRS Relationship Summary

	Conversation starters: Ask your financial professional… • Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?
What are your legal obligations to me when providing recommendations? **How else does your firm make money and what conflicts of interest do you have?**	We do not make recommendations regarding Securities, investment strategies or account types. The way we make money may create a potential conflict with your interests. You should learn about and ask us about these conflicts, because they may affect the services we provide. Here are some examples to help explain some potential conflicts. a. We route certain equities and options orders to exchanges, electronic communication networks, and broker-dealers during normal business hours and during extended trading sessions. Some of these market centers may provide us with payment in exchange for us sending them your orders, and might also charge you access fees, depending upon the characteristics of the order and any subsequent execution. If you trade more frequently, the payments we receive from these venues may increase. The material aspects of our relationship with each such venue, including any payment for order flow arrangements, can be found in the SEC Rule 606 Report & Rule 607 Disclosure at: https://www.tradestation.com/important-information, and further details of these payments and fees are available to you upon written request. b. In addition, if you trade using margin, that means you are borrowing money from us to conduct your equities trading. The margin interest we charge you to borrow this money is revenue to us. The more you trade using margin, the more money we may earn. Please learn about the risks of margin trading at: https://www.tradestation.com/important-information. c. We receive a portion of the revenue generated from fully paid securities lent to other institutions if your account is enrolled in the program. You can learn about the risks of this program at: https://www.tradestation.com/important-information. d. We may also make money by sharing the costs of certain services with introducing broker-dealers for whom we provide clearing services. For additional information about potential conflicts of interest, please visit our Important Documents page: https://www.tradestation.com/important-information.
	Conversation starters: Ask your financial professional… • How might your conflicts of interest affect me, and how will you address them?
How do your financial professionals make money?	Some of our registered representatives may be paid a base salary and a monthly bonus based on certain metrics, such as the number of new customer accounts they open and the commissions generated by those accounts. Our registered representatives may also receive periodic bonuses based on a combination of job performance and our overall profitability as a company. Our registered representatives have an incentive to encourage you to try various TradeStation products and services which may result in you choosing to trade more frequently and/or to consider alternative asset classes.
Do you or your financial professionals have legal or disciplinary history?	Yes. You may visit: https://www.investor.gov/CRS or https://brokercheck.finra.org for free and simple tools to research us and our registered representatives.
	Conversation starters: Ask your financial professional… • As a financial professional, do you have any disciplinary history? For what type of conduct?
Additional Information	You can obtain additional information about us and request a copy of this relationship summary by visiting: https://www.tradestation.com/important-information or by calling one of our specialists at 800.808.9336.
	Conversation starters: Ask your financial professional… • Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? • Who can I talk to if I have concerns about how this person is treating me?

Equities, equity options, and commodity futures products and services are offered by TradeStation Securities, Inc. (Member NYSE, FINRA, CME and SIPC).

TradeStation Securities, Inc. is an SEC-licensed broker dealer and a CFTC-licensed futures commission merchant (FCM), and a member of FINRA, SIPC, CME, NFA and several equities and futures exchanges, which offers to self-directed investors and traders Equities accounts for stocks, exchange-traded products (such as ETFs) and equity and index options, and Futures accounts for commodity and financial futures and futures options.

TradeStation Technologies, Inc. is a software development company which offers analytics subscriptions that self-directed investors and traders can use to chart, analyze and design back-tested strategies for Equities, Options, Futures, Forex and Crypto markets (TradeStation Technologies is not a financial services company).

TradeStation International Ltd acts as an introducer to TradeStation Group's affiliates and a non-affiliated broker. TradeStation International Ltd is authorized and regulated by the Financial Conduct Authority (FCA) in the United Kingdom (registration number 445531).

TradeStation Europe B.V. offers securities, options, and futures trading to customers residing in Europe. TradeStation Europe B.V. is registered with the Dutch Chamber of Commerce (no. 89426657) and regulated by the Dutch Authority for the Financial Markets in the Netherlands. TradeStation Europe has entered into a clearing agreement with TradeStation Securities, pursuant to which TradeStation Securities provides clearing and execution services for TradeStation Europe. TradeStation Securities custodies certain client assets on behalf of TradeStation Europe. TradeStation Europe custodies its clients' funds with the foundation Stichting TradeStation Europe.

TradeStation account services, subscriptions and products are designed for speculative or active investors and traders, or those who are interested in becoming one. No offer or solicitation to buy or sell securities, securities derivative or futures products of any kind, or any type of trading or investment advice, recommendation or strategy, is made, given or in any manner endorsed by any TradeStation Group company, and the information made available on or in any TradeStation Group company website or other publication or communication is not an offer or solicitation of any kind in any jurisdiction where such TradeStation Group company or affiliate is not authorized to do business. Past performance, whether actual or indicated by historical tests of strategies, is no guarantee of future performance or success. There is a possibility that you may sustain a loss equal to or greater than your entire investment regardless of which asset class you trade (equities, options, futures or futures options); therefore, you should not invest or risk money that you cannot afford to lose. System access and trade placement and execution may be delayed or fail due to market volatility and volume, quote delays, system, platform and software errors or attacks, internet traffic, outages and other factors. The trademark "TradeStation®," as well as other trademarks, domain names and other proprietary intellectual property of TradeStation Group companies, are owned by TradeStation Technologies.

Please read carefully the agreements, disclosures, disclaimers and assumptions of risk presented to you separately by the applicable TradeStation entity on the TradeStation Group company site and/or the separate sites, portals and account or subscription application or sign-up processes of each of these TradeStation Group companies. They contain important information, rights and obligations, as well as important disclaimers and limitations of liability, and assumptions of risk, by you that will apply when you do business with these companies.

Welcome.

How to Open Your TradeStation Account

Please complete this application in its entirety by typing your answers and information in the appropriate fields. **Please list your name exactly as it appears on your government issued photo identification.** Once completed, simply print and sign the application before sending it to us. You will need the latest version of the free Adobe Acrobat reader (https://get.adobe.com/reader/) to use the interactive features on this form. Alternatively, you can print then complete the entire form using blue or black ink. Please do not use white-out.

To ensure that your account application is complete and may be processed as soon as reasonably possible, ***please make sure you've completed all applicable documents and that you return this entire package, including the pages on which you are not required to sign or provide information.***

Visit our website to view the funding instructions.

Please return your completed application and additional documentation to TradeStation via email or regular mail:

1) **Email: newaccountservices@tradestation.com**
2) **Post to the following address:**
   TradeStation New Accounts
   8050 SW 10th Street, Suite 2000
   Plantation, FL 33324, USA

REQUIRED FORMS

☐ **Account Application and Agreements, Disclosures, Disclaimers and Assumption of Risks**
For all accounts.

IDENTITY VERIFICATION

Please include the following identification documentation with your application package:

☐ **U.S. Resident**
Copy of valid government issued photo identification

☐ **Non-U.S. Resident**

- Copy of valid government issued photo identification
- Address Verification Document

  A document such as a recent bank or brokerage statement or utility bill dated within the last 90 days.

REQUIRED

Please complete all information carefully. You are representing to TradeStation that all information you provide is true, complete and accurate. Information provided under "Trading Experience" means of the Primary Account Holder.

Primary Account Holder Information

To expedite your account opening process, if you have been working with a Representative, please enter that person's name below:

Representative's Name:.....**Promotional Code:** .....

Please select the account type you would like to open within the next 30 days:

Only one account type permitted per application

◯ Individual ◯ Joint Account with Right of Survivorship (JTWROS) ◯ Joint Account as Tenants in Common (JTTIC)

◯ IRA (Must complete IRA section below)

For IRA selection only

Must be a U.S. citizen or U.S resident with U.S. tax id

Please select your IRA account preference: ☐ Traditional IRA ☐ Roth IRA ☐ SEP IRA ☐ Simple IRA ☐ Inherited IRA ☐ Roth Inherited IRA

TradeStation Securities, Inc. does not serve as a custodian for IRA accounts. For self-directed IRAs opened through TradeStation:
Equity Trust Company acts as the directed trustee for Equities IRA accounts
Equity Trust Company serves as the custodian for Futures IRA accounts

Asset class:

Select all that apply

☐ **Equities**	Includes equity options, if selected below and approved for options trading.
☐ **Futures Only**	Trading through the TradeStation Platform. *Select if you do not intend to trade options on futures.*
☐ **Futures Options**	Trading through the TradeStation FuturesPlus Platform. *$5,000 minimum funding for FuturesPlus Platform.*

For Equities accounts only

Would you like the ability to trade options with this account?

◯ **Yes** ◯ **No**

If yes, please provide the following additional information.

Please check one or more of the following option strategies and trading objectives you may wish to employ:

☐ 1. Covered Call Writing, Protective puts – Income
☐ 2. Long Puts/Calls, Collars, Covered Puts, Long Straddles/Strangles – Income and Growth
☐ 3. Put/Call Spreads – Income and Growth
☐ 4. Uncovered Put Writing – Income, Growth, and Speculation
☐ 5. Uncovered Call Writing – Income, Growth, and Speculation

Cash accounts are eligible for covered calls, protective/covered puts, buying calls/puts, and European index spreads.
Margin accounts are eligible for all cash objectives, options spreads, short straddles/strangles, and uncovered calls/puts.

Options trading is not suitable for all investors. If you would like the ability to trade options through a TradeStation account, you should first read the disclosure document titled Characteristics and Risks of Standardized Options.

FOR INTERNAL USE ONLY (APPROVAL OF EQUITY OPTIONS TRADING)

Date approved	ROP Signature	Approved option levels: ☐1 ☐2 ☐3 ☐4 ☐5
CID#	Account #	

REQUIRED

Primary Account Holder Information

All associated persons must provide a valid government issued photo ID.

First Name (Legal)	Middle	Last	
Date of Birth	Citizenship	U.S. Social Security #	
ID	ID Type	State/Country of Issuance	
Permanent Address (no P.O. boxes)			
City	State/Province	Zip	Country
Mailing Address ☐ Check here if same as Permanent Address			
City	State/Province	Zip	Country
Primary/Daytime Phone Number		Home/Evening Phone Number	
E-mail Address			
☐ Please check if you do wish to receive marketing communications. (E.U. Residents only.)			

Employment Information

Are you or your spouse employed by or associated with an NYSE, FINRA and/or NFA registered brokerage firm or an exchange?
◯ No ◯ Yes *If yes, please provide an authorization letter from the member firm with whom you or your spouse are associated. Letter should be on corporate letterhead and signed by a Principal or Compliance officer of the firm. If duplicate confirms and statement are required, an e-mail address must be provided on letter.*

Are you a director, 10% shareholder or policy-making officer of a publicly-owned company?
◯ No ◯ Yes If Yes, please list trading symbol(s):

Employment Status ☐ Employed ☐ Retired ☐ Student ☐ Unemployed ☐ Self-Employed ☐ Homemaker

Current Occupation		Type of Business/Industry	
Name of Employer		Employer's Address	
City	State/Province	Zip	Country

Source of income
☐ Salary ☐ Inheritance ☐ Insurance Proceeds ☐ Legal Settlement
☐ Savings/Investments/Real Estate ☐ Gift ☐ Other (specify):

Source of Funds in Account (Check all that apply.)
Please provide the source of assets that will be deposited or held in the account. If the source is a transfer from another firm, please indicate the source of funds that were used to purchase the assets.
☐ Salary, wages, savings ☐ Working capital ☐ Investment capital gains ☐ Family, relatives, inheritance
☐ Sales of property/assets ☐ Business income ☐ Other (specify):

REQUIRED

Primary Account Holder Information (Continued)

Financial Information

Annual Net Income in USD:

- ☐ **If under $50,000 specify** _____
- ☐ $50,000-$99,999
- ☐ $100,000-$249,999
- ☐ $250,000-$999,999
- ☐ Over $1 million

Total Net Assets in USD:
This includes all assets, minus all obligations, debts and liabilities.

- ☐ **If under $75,000 specify** _____
- ☐ $75,000-$99,999
- ☐ $100,000-$199,999
- ☐ $200,000-$499,999
- ☐ $500,000-$999,999
- ☐ $1,000,000-$4,999,999
- ☐ $5,000,000-$49,999,999
- ☐ Over $50 million

Liquid Net Assets in USD:
This includes cash and marketable securities, minus all obligations, debts and liabilities.

- ☐ **If under $75,000 specify** _____
- ☐ $75,000-$99,999
- ☐ $100,000-$199,999
- ☐ $200,000-$499,999
- ☐ $500,000-$999,999
- ☐ $1,000,000-$4,999,999
- ☐ $5,000,000-$49,999,999
- ☐ Over $50 million

Trading Experience

EQUITIES

No. of years trading
- ☐ None
- ☐ **If under 1, specify months** _____
- ☐ 1-5
- ☐ Over 5

OPTIONS

No. of years trading
- ☐ None
- ☐ **If under 1, specify months** _____
- ☐ 1-5
- ☐ Over 5

FUTURES

No. of years trading
- ☐ None
- ☐ **If under 1, specify months** _____
- ☐ 1-5
- ☐ Over 5

Joint Account Holder Information

First Name (Legal)	Middle Name	Last Name	
Date of Birth	Citizenship	U.S. Social Security #	
ID	ID Type	State/Country of Issuance	
Permanent Address (No P.O. Boxes)			
City	State/Province	Zip	Country
Mailing Address ☐ Check here if same as Permanent Address			
City	State/Province	Zip	Country
Primary/Daytime Phone Number		Home/Evening Phone Number	
E-mail Address			
☐ Please check if you do wish to receive marketing communications. (E.U. Residents only.)			

REQUIRED

Joint Account Holder Information (Continued)

Joint Account Holder Employment Information

Are you or your spouse employed by or associated with an NYSE, FINRA and/or NFA registered brokerage firm or an exchange?
◯ No ◯ Yes *If yes, please provide an authorization letter from the member firm with whom you or your spouse are associated. Letter should be on corporate letterhead and signed by a Principal or Compliance officer of the firm. If duplicate confirms and statement are required, an e-mail address must be provided on letter.*

Are you a director, 10% shareholder or policy-making officer of a publicly-owned company?
◯ No ◯ Yes If Yes, please list trading symbol(s):

Employment Status ☐ Employed ☐ Retired ☐ Student ☐ Unemployed ☐ Self-Employed ☐ Homemaker

Current Occupation	Type of Business/Industry
Name of Employer	Employer's Address

City	State/Province	Zip	Country

Source of income
☐ Salary ☐ Inheritance ☐ Insurance Proceeds ☐ Legal Settlement
☐ Savings/Investments/Real Estate ☐ Gift ☐ Other(specify):

Source of Funds in Account (Check all that apply.)
Please provide the source of assets that will be deposited or held in the account. If the source is a transfer from another firm, please indicate the source of funds that were used to purchase the assets.
☐ Salary, wages, savings ☐ Working capital ☐ Investment capital gains ☐ Family, relatives, inheritance
☐ Sales of property/assets ☐ Business income ☐ Other (specify):

Financial Information

Annual Net Income in USD:
☐ If under $50,000 specify _____
☐ $50,000-$99,999
☐ $100,000-$249,999
☐ $250,000-$999,999
☐ Over $1 million

Total Net Assets in USD:
This includes all assets, minus all obligations, debts and liabilities.
☐ **If under $75,000 specify** _____
☐ $75,000-$99,999
☐ $100,000-$199,999
☐ $200,000-$499,999
☐ $500,000-$999,999
☐ $1,000,000-$4,999,999
☐ $5,000,000-$49,999,999
☐ Over $50 million

Liquid Net Assets in USD:
This includes cash and marketable securities, minus all obligations, debts and liabilities.
☐ **If under $75,000 specify** _____
☐ $75,000-$99,999
☐ $100,000-$199,999
☐ $200,000-$499,999
☐ $500,000-$999,999
☐ $1,000,000-$4,999,999
☐ $5,000,000-$49,999,999
☐ Over $50 million

Trading Experience

EQUITIES
No. of years trading
☐ None
☐ **If under 1, specify months** _____
☐ 1-5
☐ Over 5

OPTIONS
No. of years trading
☐ None
☐ **If under 1, specify months** _____
☐ 1-5
☐ Over 5

FUTURES
No. of years trading
☐ None
☐ **If under 1, specify months** _____
☐ 1-5
☐ Over 5

Trusted Contact Person

This applies to account number _____ and any and all other accounts that I may have or later open with TradeStation.
(complete this field if you have an existing brokerage account)

TradeStation has asked, or you have requested, that you designate a trusted contact person age 18 or older. TradeStation is hereby authorized and permitted by you to contact your trusted contact person and disclose information about your account as described below. Please let us know if you have any questions about this form. This request and form applies to all of your TradeStation accounts.

You hereby designate the person identified below as your trusted contact person. You understand that by providing contact information for a trusted contact person, age 18 or older, you are authorizing TradeStation to contact the aforementioned trusted contact person and disclose information about your account in order to address possible financial exploitation, to confirm the specifics of your current contact information, health status or the identity of any legal guardian, executor, trustee or holder of a power of attorney, or as otherwise permitted by FINRA Rule 2165 or any other applicable equivalent or similar rules of any applicable agency or regulatory organization.

You understand that (1) TradeStation **is not required to contact your trusted contact person**; and **(2) the completion of this form is optional and you may withdraw it at any time by notifying TradeStation in writing (use TradeStation address shown on account statement).** If you would like to change your trusted contact person, you may do so by giving TradeStation a newly-signed Trusted Contact Person form with the box checked below to indicate that the new form supersedes the previous form.

CONTACT PERSON INFORMATION

Name of Trusted Contact Person (First, Middle, and Last)		Relationship (e.g. spouse, child, holder of my power of attorney, lawyer, accountant, etc.)
Home Address		
City	State (U.S.)	Zip
Country		Province
Primary Phone Number		E-mail Address

☐ Check here if this contact authorization supersedes previous contact authorizations.

Signature

Signature of Client SIGN HERE
Print Name
Date

EQUITIES

Dear Applicant,

Thank you for your interest in equities trading at TradeStation Securities, Inc through your self-directed Individual Retirement Account ("IRA").

Please be advised that TradeStation does not provide its own custodial services for self-directed IRAs. As such, customers must open a self-directed IRA with approved directed trustee Equity Trust Company. By providing you this information, TradeStation is not recommending or endorsing Equity Trust Company. This document is for information purposes only.

Before opening a self-directed IRA, you should familiarize yourself with the investment and income tax risks, along with the costs associated with such trading. We also strongly encourage you to consult with your legal and/or tax advisor to discuss these risks.

Please consult with Equity Trust Company for additional information if needed.

Equity Trust Company – www.trustetc.com
ATTN: DTS Operations
1 Equity Way
Westlake OH 44145
800-209-9010

FUTURES

Dear Applicant,

Thank you for your interest in futures trading at TradeStation Securities, Inc. ("TradeStation") through your self-directed IRA. Please be advised that TradeStation does not provide its own custodial services for self-directed IRAs that invest in alternative assets such as futures. As such, customers who wish to trade such alternative investments at TradeStation, must open a self- directed IRA with an approved custodian that accepts alternative investments.

TradeStation currently accepts alternative investment self-directed IRAs from Equity Trust Company. By providing you with this information, TradeStation is not recommending or endorsing Equity Trust Company. This document is for information purposes only.

Before you choose to open an alternative investment self-directed IRA, you should familiarize yourself with the investment and income tax risks, along with the costs associated with such trading. We also strongly encourage you to consult with your legal and/or tax advisor to discuss these risks.

Once you have initiated the account opening process with your custodian, please complete the TradeStation Futures IRA application and return it to:

TradeStation Securities, Inc.
Attn: Sales
8050 SW 10th Street, Suite 2000
Plantation, FL 33324

Upon receipt of your application, TradeStation will review your account application and, if approved, your application will be forwarded directly to your custodian for completion of the account opening process.

Final approval is dependent upon your custodian and TradeStation (FCM and executing agent) accepting the account. TradeStation cannot be held responsible for any delay associated with establishing your custodial IRA account.

Please consult with the trust company regarding minimum deposits, costs and paperwork requirements.

Equity Trust Company – Equity Institutional Services
15671 San Carlos Blvd #101
Fort Myers, FL 33908
(239) 333-1032

REQUIRED

Please carefully review all of the content in this package, including all of the information below and in each of the required agreements and other documents listed below (which each can be opened and read from the links below and are all easily accessible at www.tradestation.com through the link "Agreements & Disclosures"). ***PLEASE READ ALL AGREEMENTS AND DOCUMENTS CAREFULLY, including the ones listed below and on the website, which are legally binding on you to the same extent as if set forth fully in this package***. Once you have reviewed and agreed with each document, but not before, you should sign below where indicated. We strongly recommend that you print a copy of each agreement and document for your records, ***as your acknowledgment and acceptance of these agreements and other documents are legally binding on you and create estoppel and affirmative defenses against you, and you agree that they do so no differently than if each one was individually signed by you in ink and delivered to TradeStation in person.***

You understand and agree that the respective customer account agreements which will apply to, and govern, your account relationships with TradeStation companies are as follows: (1) for an **Equities** account (including for equity/index options trading), solely the **TradeStation Securities, Inc. Customer Account Agreement for Equities,** together with the **Master Securities Lending Agreement,** and (2) for a **Futures** and/or **Futures Options** account, solely the **TradeStation Securities, Inc. Account Agreement for Futures.** Also, there are supplemental agreements, disclosures and other documents which will apply to one or more your accounts (as set forth below) which you must agree to, accept and acknowledge. Depending on which accounts you are applying for, the following apply and govern:

TradeStation Securities, Inc. Accounts – Equities & Options (Agreements, Disclosures, Disclaimers and Assumption of Risk):

- TradeStation Securities, Inc. Customer Account Agreement for Equities
- Master Securities Lending Agreement
- TradeStation Technologies, Inc. Subscription Agreement
- User Agreement (Websites, Electronic Services, Social Media and Education)
- Investment and Trading Disclosures Booklet – Equities & Options

TradeStation Securities, Inc. Accounts – Futures & Futures Options (Agreements, Disclosures, Disclaimers and Assumption of Risk):

- TradeStation Securities, Inc. Customer Account Agreement for Futures
- TradeStation Technologies, Inc. Subscription Agreement
- User Agreement (Websites, Electronic Services, Social Media and Education)
- Investment and Trading Disclosures Booklet – Futures & Options on Futures
- Firm Specific Disclosure Statement - CFTC Rule 1.55

Residents of United Kingdom Only

- Terms of Business of TradeStation International Ltd

The TradeStation Technologies, Inc. Subscription Agreement is a separate license agreement governing your use of TradeStation and third-party software and market data/content for Equities and Futures accounts, and the User Agreement (Websites, Electronic Services, Social Media and Education) applies to your use of those products and services offered by any of the TradeStation companies. We also direct you to review our Privacy Notice on the TradeStation website.

Please be aware that you acknowledge and agree that even if you are not applying to open a particular kind of account in this application, but already have such type of account (for example, you already have a Futures or Equities account, and are not applying for one in this application), the applicable agreements and documents listed above which pertain to those accounts will now apply to all of your existing accounts (for example, all of the agreements and documents listed above for Equities accounts, in their current form, now apply to all of your existing Equities accounts, and all of the agreements and documents above listed for Futures accounts now apply, in their current form, to all of your existing Futures accounts). You agree, intending to be legally bound, that any future action you take in any of such existing accounts constitutes irrevocable and unconditional acceptance by you of the current form of each of such agreements and documents (as same my later be amended or modified).

This account application, together with all of the applicable agreements, acknowledgments, representations, warranties, notices, disclaimers and assumptions of risk contained in this application and any of such documents, including those listed above on this page (collectively, the "Agreement"), may from time to time be modified or amended, in whole or in part, by any reasonable method of notice to you, including posting on a TradeStation website, platform application you use, or other electronic communication, and you agree that any transaction or activity you initiate in any of your accounts after such modification or amendment constitutes your unconditional acceptance of any such modification or amendment.

You represent and affirm that (1) you are a self-directed online investor or trader, and the sole reason for your account(s) is for you to invest in and trade, online, the market assets offered by such account(s), (2) you have the financial ability to withstand a total loss of all funds and other assets you deposit or maintain in any of your accounts, (3) all funds or assets deposited or later deposited in any of your accounts are and will be your personal funds or assets, and have not been and will not be solicited or sourced from any third party, and any withdrawals you make from any of your accounts will be transferred solely to an account in your name, under your control, and which only you own, and (4) no third party has or will have any direct or indirect ownership or other beneficial or financial interest in those funds or assets, or any asset or other property purchased with or through the use of such funds or assets, or in any of your accounts, in any manner.

REQUIRED

If you are opening an IRA, by signing this account application, you acknowledge and agree that: (a) no TradeStation company has provided, and no TradeStation company will provide, you with any investment, financial, tax or legal advice in connection with your decision to open your IRA, the type of IRA, your selection of the custodian with whom you open your IRA , or any investment or transaction in your IRA; (b) you have made or will make your own independent investigations and assessments with respect to your IRA, your selection of the type of account, the custodian with whom you open your IRA account and any investments and transactions in your IRA; (c) no TradeStation company has solicited, and no TradeStation company will solicit, you to acquire any investment or enter into any transaction in your IRA; (d) no TradeStation company is a fiduciary and no TradeStation company will make any recommendations to you regarding your IRA, the type of IRA you select, your selection of the custodian with whom you open your IRA account or any investment or transaction in your IRA; and (e) no TradeStation company is responsible for, and you will hold harmless each TradeStation company and its affiliates from, any and all tax consequences and other liability or losses that you incur in your IRA.

By signing below, you acknowledge our Privacy Notice and agree to receive marketing offers from TradeStation Group, Inc. and its affiliates in the form of emails, phone calls, pre-recorded messages, text messages, and autodialed calls at the email address(es) and phone number(s) provided, even if any phone number is present on a state or national Do Not Call list. You are not required to provide this consent as a condition of doing business with us and you can withdraw consent at any time. Data rates may apply.

U.S. citizens and U.S. residents only — Tax Withholding Certification. Under penalty of perjury, you certify that: (1) the number provided by you in this account application is your correct taxpayer identification number; (2) you are not subject to backup withholding because (a) you are exempt from backup withholding, or (b) you have not been notified by the Internal Revenue Service (IRS) that you are subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified you that you are no longer subject to backup withholding; (3) you are a U.S. person (which includes being a U.S. resident alien); and (4) the FATCA code(s) entered in this account application (if any) indicating that you are exempt from FATCA reporting is correct. You understand that if you are not a U.S. person, or have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return, you may not sign below and you must contact us to complete your application so that we can apply any required backup withholding. **Note: The IRS does not require your consent to any provision of this document other than the certification required to avoid backup withholding. If this is a joint account, each joint account owner is separately making the certification.**

AGREEMENT TO ARBITRATION OF DISPUTES RELATING TO EQUITIES ACCOUNTS: If you are applying for an **Equities (Equities & Options)** account, you acknowledge and affirm that you have read and agree to the **pre-dispute arbitration provisions** set forth in **section 38 of the TradeStation Securities, Inc. Customer Account Agreement for Equities**,

ELECTION OF ARBITRATION FOR DISPUTES RELATING TO FUTURES ACCOUNTS: If you are applying for a **Futures or Futures Options** account, you acknowledge and affirm that you have read the **pre-dispute arbitration provisions** set forth in **section 38 of the TradeStation Securities, Inc. Account Agreement for Futures**, and that you are making the following election **(please type your initials - must choose one)**:

	I (We) Accept the Arbitration Provision		I (We) Do Not Accept the Arbitration Provision

Note: If you are not a U.S. person, you will likely be required to complete and submit applicable Form W-8. You further represent and confirm that no TradeStation company solicited you to apply for, open or establish any kind of account with any TradeStation company.

You confirm and affirm that all information, representations, warranties, affirmations, acknowledgements and agreements that you have provided or made in this application are voluntarily and knowingly given and made, are true, complete and accurate, and not misleading in any respect, *and you will promptly notify us in writing if any of the information or any representation you have provided materially changes or ceases to be true, complete and accurate*.

By signing below, you hereby execute and deliver the Agreement, intending to be legally bound by it.

Authorized Signature SIGN HERE	**Date**
Print Authorized Signor's Name and Title (If sole proprietorship, simply state "owner.")	
Authorized Signature SIGN HERE	**Date**
Print Authorized Signor's Name and Title	